Serial No 09/540,095 Page 1 of 3



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**CERTIFICATE OF MAILING** 

I hereby certify that on **December 31**, 2003, which is the date I am signing this certificate, I am depositing this correspondence the United States Postal Service, first class mail, in an envelope addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA

22313-1450

David Prange

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

**Applicant: RICHARD THOMAS BOX** 

**Serial No.**: 09/540,095

Filed: 03-31-2000

Title: CUSTOMIZING AN ELECTRONIC INTERFACE TO THE GOVERNMENT

**Atty. Docket No.:** 060021-305107

Examiner: POND, ROBERT M

**Group Art Unit: 3625** 

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56

Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicant brings the references listed on the attached Form PTO-1449 to the examiner's attention. 37 C.F.R. § 1.56. These references may be material to examination of the above-identified application. Please do not construe the filing of this information disclosure statement as a representation that applicant has made a search (37 C.F.R. § 1.97(g)), or as an admission that the information cited is, or is considered to be, material to patentability (37 C.F.R. § 1.97(h)), or that no other material information exists. We enclose copies of the cited documents within a box herewith.

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	1. Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d), or within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application, or before the mailing date of a first Office action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114, and therefore, Applicant believes no fee is required;							
$\boxtimes$	2. After the period specified in paragraph (1) hereinabove of this section, but is being filed before the mailing date of either a final action under 37 CFR 1.113, or a notice of allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and is accompanied by one of the following:							
		(a)	A state	ement that either:				
			(i)	Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement;				
			OR					
			(ii)	No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement;				
		OR						
	$\boxtimes$	(b)		e of \$180 for filing of an Information Disclosure Statement as set forth C.F.R. 1.17(p).				
	3. After the period specified in paragraph (2) of this section, but is filed on or before payment of the issue fee and is accompanied by both:							
		(a)	A state	ment that either:				
			(i)	Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement;				
			OR					
			(ii)	No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement;				
		(b)		e of \$180.00 for filing of an Information Disclosure Statement as set forth in R 1.17(p).				

Applicant would appreciate the Examiner initialing and signing a copy of Form PTO-1449, transmitted herewith, indicating that the information has been considered and made of record herein.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 50-1901** referencing order number 060021-305107.

December  $\mathcal{L}$ , 2003

Christopher R. Hilberg, Reg. No. 48,740

Attorney for Applicant

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Serial No 09/540,095

Rage 1 of 3

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22313-1450.

David Prange

**PATENT** 

**Applicant**: RICHARD THOMAS BOX

Serial No.: 09/540,095

Filed: 03-31-2000

Title: CUSTOMIZING AN ELECTRONIC INTERFACE TO THE GOVERNMENT

Atty. Docket No.: 060021-305107

**Examiner**: POND, ROBERT M

**Group Art Unit**: 3625

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **DAYCO STATEMENT REGARDING RELATED APPLICATIONS**

Sir:

In the recent case, *Dayco Products, Inc. v. Total Containment, Inc.*, 329 F.3d 1358; 66 U.S.P.Q.2d 1801 (Fed.Cir.2003), the Federal Circuit stated (1) in the context of 37 C.F.R. § 1.56, a co-pending application may be "material" in the prosecution of a patent, even if the co-pending application cannot result in a shorter patent term; and (2) in the context of 37 C.F.R. § 1.56, a contrary decision of another examiner reviewing a substantially similar claim meets the threshold level of materiality.

In light of *Dayco*, Applicant respectfully identifies the following United States patent applications, which are or were co-pending with the present application and may contain similar subject matter as the present application:

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GROUP:

	Serial No.	Patent No.	Filing Date	Atty. Docket	Title
1 1	09/258,714		02-26-1999	060021-	AN ELECTRONIC COMMERCE INTER-
3/31 05/50 [me]				305101	FACE TO THE GOVERNMENT
100 N	09/540,460		03-31-2000	060021-	INTEGRATING GOVERNMENTAL SYS-
BE BUREL				- 305102	TEMS WITH A CENTRAL INTERFACE
			03-31-2000	060021-	METHOD OF PROVIDING INTERAC-
150, 1				305103	TION BETWEEN BUSINESS AND GOV-
OZI Defere,					ERNMENT
$U^{\mu\nu}$ .	09/541,095		03-31-2000	060021-	ADDING FUNCTIONALITY TO AN EX-
لميرا 3 ا	)	1		305105	ISTING ELECTRONIC INTERFACE TO
76 show					THE GOVERNMENT
. 1 1.	09/541,100		03-31-2000	060021-	SUBSCRIBER SERVICES IN A PUB-
705/31 (d)				305111	LICLY ACCESSIBLE GOVERNMENT
705/31/med) (Abmelmed) 705/31/med)					SYSTEM
-131	09/540,094		03-31-2000	060021-	GOVERNMENT ELECTRONIC COM-
105/31				305112	MERCE ARCHITECTURE
(Algorithme)	09/540,587		03-31-2000	060021-	COMMUNICATION INTERFACE SYS-
105/2" [pul)				305104	TEM AND METHOD FOR MULTIPLE
1 About					GOVERNMENTAL ORGANIZATIONS
705/31 705/31	09/541,092		03-31-2000	060021-	OFFERING FILING AND PAYMENT
205/31 1				305106	CAPABILITIES FOR MULTIPLE GOV-
10 July					ERNMENT AGENCIES ACROSS A VA-
Ahme					RIETY OF TRANSACTIONS TYPES
C					THROUGH A SINGLE ACCESS POINT
ال ادا	09/540,158		03-31-2000	060021-	DATABASE APPLICATIONS IN A
25 ] . Mad				305108	NETWORK BASED GOVERNMENTAL
(Ahra					SYSTEM
1 202	09/541,101		03-31-2000	060021-	PROVIDING SECURITY IN A PUBLICLY
13 / hondone				305109	ACCESSIBLE GOVERNMENT SYSTEM
CK.	09/540,855		03-31-2000	060021-	PROVIDING AUDITING FUNCTIONS IN
2 3 mlast				305110	AN ELECTRONIC COMMERCE SYSTEM
CAMPO !	09/540,396		03-31-2000	060021-	A BUSINESS TO GOVERNMENT
200 36 prot	<u>-1</u>			305113	TRANSACTION UTILITY
182 Au.	109/540,857		03-31-2000	060021-	SUBMISSION OF TRANSACTIONS TO A
705/3/bud				305114	GOVERNMENT AGENCY
Cr.	09/541,360		03-31-2000	060021-	PAYMENT PROCESSING SYSTEM AND
705/3/d				305115	METHOD

Applicant brings these applications to the attention of the examiner, or other Office official involved with the examination of the present application. However, please do not construe the filing of this statement as a representation that applicant has made a search, or as an admission that the co-pending applications are, or are considered to be, material to patentability, or that no other co-pending applications exist.

Serial No 09/540,095 Page 3 of 3

December  $\underline{\mathcal{L}}$ , 2003

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